



sector #

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence and attached documents in response to a Notice to File Missing Parts of Application, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box MISSING PARTS, Washington D.C. 20231-9998, on:

Date: 5-17-99

By [Signature]  
Signature of person depositing U.S. Mail  
SARL OKARMOS  
Printed Name of Person

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

PATENT

TRANSMITTAL OF MISSING PARTS FOR  
PATENT APPLICATION PURSUANT TO  
37 C.F.R. §1.53(d)

Applicant(s):	Peshkin et al.	Docket No.:	20944.2200
Serial No.:	09/264,065	Client Ref.:	97RSS327
Filed:	March 8, 1999	Group Art Unit:	2734
TITLE:	METHODS AND APPARATUS FOR COMMUNICATING COMMANDS AND DATA USING LOGICAL CHANNELS	Examiner:	NYA

Assistant Commissioner for Patents  
Box **MISSING PARTS**  
Washington, D.C. 20231-9998

Sir:

In response to the Notice to File Missing Parts of Application mailed on March 24, 1999 in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject patent application.

**1. Small Entity Status**

- ☐ Small entity status under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ Verified statements to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.

## **2. Fee Calculation**

Surcharge (\$130) plus Assignment Recordation Fee (\$40) = \$ 170.00

Total Claims Paid For 4 minus 4 = 0 x \$ 18.00 = 0.00

Independent Claims Paid For 4 minus 4 = 0 x \$ 78.00 = 0.00

Multiple dependent claim fee, if applicable \$260.00 + 0.00

### **Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)**

☐ Missing parts filed within **first** month after due date - add \$ 110.00 + N/A

☐ Missing parts filed within **second** month after due date - add \$ 390.00 + N/A

☐ Missing parts filed within **third** month after due date - add \$ 930.00 + N/A

☐ Missing parts filed within **fourth** month after due date - add \$1470.00 + N/A

**Subtotal:** 170.00

If one of the "small entity" boxes above is checked, enter half (1/2) of the Subtotal and subtract. - N/A

- **TOTAL FILING FEES:** 170.00

Add:

**TOTAL FEES ENCLOSED:** \$170.00

## **3. Enclosures (check as applicable)**

- ☒ Notice of Missing Parts (copy)
- ☒ Executed Declaration and Oath
- ☒ Executed Power of Attorney
- ☐ Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(c), 1.9(d), and 1.27
- ☒ Check for payment of the surcharge and assignment recordation fee
- ☒ Assignment and required Recordation Form Cover Sheet
- ☐ Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.99 (with PTO Form 1449 and copies of citations)
- ☒ Other: Blue Acknowledgement Card

Extra copies of this letter:

- ☒ for charge to deposit account (**deficiency only**)
- ☒ for assignment

## **4. Manner of Payment**

☐ Please charge Deposit Account No. 19-2814 in the amount of \_\_\_\_\_. **A duplicate copy of this sheet is attached.**

☒ A check in the amount of \$170.00 is attached (Snell & Wilmer Check No. 274730).

**This statement does NOT authorize charge of the issue fee.** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 **for which purpose a duplicate copy of this sheet is attached.**

**5. Assignment**

[ X ] An Assignment of this application is enclosed. Please record the Assignment and return the recorded Assignment to the undersigned attorney.

**6. Request for Filing Date Correction**

[ ] Applicant further requests that the filing date of this application be corrected to \_\_\_\_\_, and that a corrected filing receipt be issued pursuant to the Request for Correction of Filing Receipt Data filed \_\_\_\_\_. The application was filed by Express Mail pursuant to 37 C.F.R. §1.10 on such date, and is accordingly entitled to that filing date. A copy of Request for Correction to Filing Receipt Data as filed is attached.

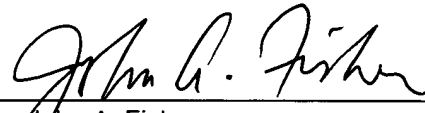
The Patent and Trademark Office is respectfully requested to send a filing receipt for this application to the undersigned attorney and forward the application for examination.

Respectfully submitted,

Date: \_\_\_\_\_

5/17/99

By \_\_\_\_\_

  
John A. Fisher  
Reg. No. 28,505

**SNELL & WILMER L.L.P.**

One Arizona Center  
400 East Van Buren  
Phoenix, Arizona 85004-0001  
(602) 382-6328



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/264,065 03/08/99 PESHKIN J 20944.2200

0222/0324

MARK M TAKAHASHI  
SNELL & WILMER  
ONE ARIZONA CENTER  
400 E VAN BUREN  
PHOENIX AZ 85004-0001

NOT ASSIGNED

DATE MAILED:

2734

03/24/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/24/1999 00000079 09254665 130700 01